



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/675,721	09/29/2000	Nicholas F. Borrelli	SP00-080

CORNING INCORPORATED  
SP-TI-3-1  
CORNING, NY 14831

## FORMALITIES LETTER



\*OC000000005580818\*

Date Mailed: 11/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

04/09/2001 NLUANG 00000002 033325 09675721

- The balance due by applicant is \$ 130.

01 FC:101 710.00 CH  
02 FC:102 80.00 CH

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **fig 9 D** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR**

01/22/2001 ETULU1 00000078 033325 09675721

01 FC:105 130.00 CH

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11/28/00

**1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Douglas C. Allan,  
Nicholas F. Borrelli  
Charlene Smith

Serial No: 09/675,721

Filed: September 29, 2000

For: DEEP UV LASER INDUCED  
DENSIFICATION IN SILICA  
GLASS

Examiner: To Be Assigned

Group Art Unit: 1731

I hereby certify that this correspondence is  
being deposited with the United States Postal  
Service with sufficient postage as first class  
mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on Jan 8, 2001  
date of deposit

Colleen E. Doherty  
Signature: Colleen E. Doherty

Assistant Commissioner for Patents  
Washington, D.C. 20231  
**Box Missing Parts**

Sir:

**LETTER UNDER 37 C.F.R. 1.53(b) – FILING DATE GRANTED**

This letter is in response to the Notice to File Missing Parts of Application  
mailed November 28, 2000.

Returned herewith is a copy of the above-entitled form and the signed  
Declaration as required by 37 C.F.R. 1.51. Please associate the enclosed signed  
Declaration with the above-identified application.

This action is being taken within two months of the above Notice. Applicants  
request a filing receipt be issued in due course.

Please charge the fee to **Deposit Account No. 03-3325**. A duplicate copy of  
this request is enclosed.

Respectfully submitted,

Attorney for Assignee  
Edward F. Murphy  
Registration No. 38,251  
Corning Incorporated  
SP-TI-3  
Corning, New York 14831  
607/974-3716



GAU 1731

243  
6

Attorney Docket: SP00-080

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Allan et al.  
**Serial No.:** 09/675,721  
**Filing Date:** September 29, 2000  
**Title:** Deep UV Laser Induced Densification  
In Silica Glass

## PRELIMINARY AMENDMENT

Examiner: To Be Assigned  
Group Art Unit: 1731

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Please amend the subject application as follows:

IN THE DRAWINGS:

On the drawing sheet numbered "10/11" please amend the drawing by replacing with the enclosed copy of the drawing showing the change in red. Thus, the drawing sheet in question should be in conformity with FIG. 9D described in the specification. A clean copy is also enclosed for the convenience of the Examiner.

The amendment will enable the amended drawing sheet to correspond to the reference and description in the text on page 5, line 20 of the specification.

The Commissioner is authorized to charge any fees to **Deposit Account No. 03-3325**. A duplicate copy of this request is enclosed.

DATE: 1/8/2001  
EFM/cd

Date of Deposit: Jan. 8, 2001

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated above with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, DC 20231

Signature

Colleen E. Doherty  
Colleen E. Doherty

Respectfully submitted,

Attorney for Assignee  
Edward F. Murphy  
Reg. No. 38,251  
Corning Incorporated  
SP-TI-03  
Corning, NY 14831  
(607) 974-3716

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JAN 11 2001  
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